

**By First Class Mail and E-Mail** [REDACTED]

**September 9, 2009**

Stuart D. Meissner, Esq.  
The Law Offices of Stuart D. Meissner, LLC  
450 Seventh Avenue, Suite 2205  
New York, New York 10123

Re: [REDACTED]

Dear Mr. Meissner:

This letter is to inform you that the Enforcement staff has determined that at this time it will not recommend the commencement of disciplinary action against your client, [REDACTED], in the above-captioned matter based on the information currently in our possession.

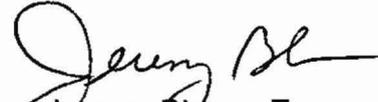
This notification does not represent a decision by any other FINRA department; it relates solely to the matter(s) that were the subject of our investigation as reflected in the Wells notice and to no other matter. This decision should not in any way be construed as indicating that [REDACTED] has been exonerated of any wrongdoing or that no wrongdoing may have occurred. With regard to the disclosure requirements in the Central Registration Depository (CRD), it is appropriate for him to modify his response to question 14G(2) on amended Form U4 (Uniform Application for Securities Industry Registration or Transfer) in accordance with this notification.

The staff reserves the right to reopen this investigation and may use any information obtained in this matter in connection with this or any other matter. In this regard, the staff cannot provide any assurance that no action will ultimately result from any further review of such information at a future date.

It is our view that a determination by FINRA not to take action against a FINRA member or person associated with a member has no evidentiary weight in any mediation, arbitration or judicial proceeding. Further, it is inconsistent with just and equitable principles of trade for a FINRA member or person associated with a member to attempt to introduce such a determination into evidence in any of these forums, provided that in the event a party other than a member or associated person makes representations in a proceeding inconsistent with this

letter, the member or associated person may introduce this letter, in unedited form, but only for the purpose of creating a complete factual record.

Sincerely,



Jeremy Bloom, Esq,  
Senior Counsel